

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

To Be Assigned

Applicant:

Masayuki ORIHASHI, et al.

Filed:

Herewith

Title:

COMMUNICATION APPARATUS AND COMMUNICATION METHOD

TC/A.U.:

To Be Assigned

Examiner:

To Be Assigned

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 11-504480
- 2) 11-55210
- 3) 11-215095
- 4) 11-17644
- 5) 2000-92009
- 6) 2001-144724
- 7) 8-70330

- 8) 2002-33676
- 9) 10-508725

References 1) - 9) include an English language abstract. These references were cited by a foreign patent office in a counterpart foreign application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609A(3), second paragraph.

This Information Disclosure Statement is being filed concurrently with the abovereferenced application.

Respectfully submitted,

Lawrence E. Ashery, Reg. No. Attorney for Applicants

LEA/dmw

Enclosures: PTO Form 1449

(10) References

Dated: October 18, 2004

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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PCT/PTO 18 OCT 2004(03)

Substitute for Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known			
Application Number	To Be Assigned		
Filing Date	Herewith		
First Named Inventor	Masayuki ORIHASHI, et al.		
Art Unit	To Be Assigned		
Examiner Name	To Be Assigned		
Attorney Docket No.	MAT-8605US		

U.S. PATENT DOCUMENTS						
	Cite No.1	Document Number	Publication Date (MM-DD-YYYY)		Pages, Columns, Lines, Where	
Examiner Initials*		Number - Kind Code <sup>2 (if known)</sup>		Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		US-5,677,927 A	10/14/1997	Larry W. Fullerton, et al.		
		US-				
		US-				

		FOREIGN PA	TENT DOCUMEN	NTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD- YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т <sup>6</sup>
		11-504480 A (with English Abstract)	04/20/1999	PULSON COMMUNICATIONS CORPORATION, INC.		
		11-55210 A (with English Abstract).	02/26/1999	NIPPON TELEGR & TELEPH CORP <ntt></ntt>		
		11-215095 A (with English Abstract)	08/06/1999	JISEDAI DIGITAL TELEVISION HOSO SYSTEM KENKYUSHO:KK		
		11-17644 A (with English Abstract)	01/22/1999	TOSHIBA CORP		
		2000-92009 A (with English Abstract)	03/31/2000	SONY CORP		
		2001-144724 A (with English Abstract)	05/25/2001	MATSUSHITA ELECTRIC IND CO LTD		
		8-70330 A (with English Abstract)	03/12/1996	TOSHIBA CORP		
		2002-33676 A (with English Abstract)	01/31/2002	SONY CORP		
		10-508725 A (with English Abstract)	08/25/1998	PULSON COMMUNICATIONS CORPORATION, INC.		

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).

See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).
<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

SKind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>\*\*</sup>Rind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for Form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

SHEET 2 of 2

Complete if Known		
Application Number	To Be Assigned	
Filing Date	Herewith	
First Named Inventor	Masayuki ORIHASHI, et al.	
Art Unit	To Be Assigned	
Examiner Name	To Be Assigned	
Attorney Docket No.	MAT-8605US	

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Т
		International Search Report for PCT/JP03/10920, dated December 16, 2003.	
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<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>1</sup>Applicant's unique citation designation number (optional).

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